

# The Wassenaar Arrangement:

## Recent Developments

23rd Asian Export Control Seminar

Tokyo, 23-25 February 2016



[www.wassenaar.org](http://www.wassenaar.org)

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## Purposes

To contribute to regional and international security and stability by promoting:

- Transparency
- Greater responsibility in transfers of **Conventional Arms** and sensitive **Dual-Use Goods & Technologies**, thus preventing:
  - destabilising accumulations
  - acquisition by terrorists



## WA Basic Commitments

Effective Export Controls at the National Level

General and Specific Information Exchange

## Export Control Lists

- Munitions List (approx. 300 entries)
  - Components, accessories, ammunition, etc.
- Dual-Use List (approx. 1,000 entries)
  - Sensitive List (170 entries)
  - Very Sensitive List (80 entries)
- “Catch-all” - Non-listed dual-use items

# Information Exchange and Transparency

## General Information Exchange

- To develop common understandings of risks
- To assess the scope for policy coordination

## Specific Information Exchange

- National reporting – certain transfers and denials

**WAIS** – dedicated secure electronic network

## Best Practices Documents, Elements, Procedures

- Non-binding guidance for national implementation of export controls
- Available on the WA website
- Licensing and Enforcement Officers exchange implementation experiences

## Arms Trade Treaty (ATT)

- Commonalities in goals
- Promotion of transparency and greater responsibility in transfers of conventional arms
- WA Participating States ready to share their experience and expertise
- WA Secretariat to monitor opportunities to contribute to international cooperation

## Maintaining the Export Control Lists

### 2013 and 2014 Lists Reviews

- Important WA advances in addressing new security and technology related challenges:
  - “Cybertools”
  - Internet monitoring devices
  - Spacecraft equipment

### 2015 Lists Review

- The WA continued focusing on new challenges and carried out its systematic Lists review

## Maintaining the Export Control Lists 2015 Lists Review

- 244 papers representing national and Working Group proposals
- 74 different topics; more than 80% agreed
- Most significant results:
  - clarification of controls on biological detection/protection equipment; electronic devices for military helmets
  - relaxation of controls on some machine tools; computers; equipment incorporating information security used in consumer industries

## Recent Outcomes - Documents

- Best Practice Guidelines for Transit or Trans-shipment
  - List of tools to help identify and mitigate the risk of illicit diversion
- Elements for the Effective Fulfilment of National Reporting Requirements related to conventional arms transfers

## Other Recent Outcomes

Commitments re-affirmed to continue to:

- Contribute to regional and international stability and security
- Further strengthen export controls
- Conduct information exchange/risk assessment
- Engage with industry/academia
- Undertake collective outreach

## Other Work in 2016

- Internal Assessment of the WA's overall effectiveness and efficiency
- WA's 20<sup>th</sup> Anniversary
  - Commemorative event at December 2016 Plenary
  - Practical Outreach Workshop, Vienna, 27-28 June 2016
  - Repackaged public documents and outreach tools

# THE WASSENAAR ARRANGEMENT

On Export Controls for Conventional Arms and Dual-Use Goods and Technologies

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## About Us

The Wassenaar Arrangement has been established in order to contribute to regional and international security and stability, by promoting transparency and greater responsibility in transfers of conventional arms and dual-use goods and technologies, thus preventing destabilising accumulations. The aim is also to prevent the acquisition of these items by terrorists.

Participating States seek, through their national policies, to ensure that transfers of these items do not contribute to the development or enhancement of military capabilities which undermine these goals, and are not diverted to support such capabilities.

## What's New

[Statement by the 2015 Plenary Chair, 3 Dec 2015](#)

[Best Practice Guidelines for Transit or Transshipment](#)

[Elements for the Effective Fulfilment of National Reporting Requirements](#)

[List of Dual-Use Goods and Technologies and Munitions List as at Dec. 2015](#)

[Summary of Changes to the Lists as at Dec. 2015](#)

[WA 20th Anniversary 2016](#)

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## Search

wassenaar.org

## Contact

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[CONTACT US](#)



### Wassenaar, The Hague

Wittenburg Castle – where agreement was reached in December 1995 to establish the Wassenaar Arrangement

### Vienna, Austria

Vienna – where the Wassenaar Arrangement holds its regular meetings and the permanent Secretariat is located



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